

# **ARIZONA CODE OF JUDICIAL ADMINISTRATION**

## **Part 6: Probation**

### **Chapter 3: Juvenile Services**

#### **Section 6-301: Juvenile Standard Probation**

**A. Definitions.** In this section, the following definitions apply:

**AAbscond@** means a juvenile probationer has left the primary place of residence without permission of the probation officer or whose whereabouts are unknown.

**AAadministrative director@** means both the administrative director of the Administrative Office of the Courts and the director's designee.

**AAadministrative status@** means a juvenile who is not currently receiving active supervision or contact requirements have been suspended by the juvenile probation department.

**AAcohol and drug testing@** means any method of determining the level or identifiable substances in the body including, but not limited to, breathalyzer tests, blood tests and urine samples.

**AAOC@** means Arizona Supreme Court, Administrative Office of the Courts.

**AAverage caseload@** means the total active cases divided by total number of supervising probation officers.

**ACombined department@** means a county probation agency that provides both adult and juvenile probation services.

**ACommunity service@** means unpaid labor or services provided to a not-for-profit private or governmental agency.

**ACourt@** means the superior court.

**ADirector@** means the director of the juvenile court or chief probation officer in combined departments.

**AHand count@** means manual tabulation of all standard probation case files in the county, conducted independently from any automated system.

**ALong term residential placement@** means a juvenile in an out of home facility for more than 30 days.

**AProtective supervision@** means the status of juveniles that have been adjudicated for an incorrigible offense and placed on standard probation.

**A**Risk Needs assessment@ means a tool to indicate the juvenile=s propensity to re-offend and the treatment services needed to help prevent further illegal activities.

**A**Short term residential placement@ means a juvenile in an out of home facility for less than 30 days.

**A**Specialized caseload@ means a group of juveniles with similar presenting problems or needs who are supervised by a probation officer focusing on addressing the problem or need.

**A**Visual contact@ means a face-to-face communication to discuss progress, issues of concern or other appropriate matters.

**B. Applicability.** Article VI, Section 3 of the Arizona Constitution and A.R.S.' 12-261 authorize the Supreme Court to administer state funding to aid probation services. The AOC shall administer state aid funding for juvenile standard probation on behalf of the Supreme Court.

**C. Purpose.** The purpose of juvenile standard probation in Arizona is to provide the highest quality service to the court, community, juveniles being supervised and their families. This is accomplished by promoting public safety through effective community based supervision and enforcement of court orders, offering accurate and reliable information and affording juveniles opportunities to be accountable and initiate positive changes.

**D. General Administration.**

1. The AOC shall:

- a. Administer and direct juvenile standard probation state aid on behalf of the Supreme Court;
- b. Monitor state aid for juvenile standard probation;
- c. Prepare written material setting forth various techniques, practices, guidelines and other recommendations regarding the operation and management of juvenile standard probation and distribute this material to appropriate superior and juvenile court judges and probation personnel;
- d. Inspect, audit or have audited the records of any court operating a juvenile standard probation;
- e. Prescribe and adopt procedures, forms and reports necessary for financial administration, program administration and operation and management of juvenile standard probation;
- f. Conduct seminars and educational sessions regarding the purpose and operation of juvenile standard probation;

- g. Establish performance measures and expectations in consultation with juvenile courts, for determining compliance with each court's juvenile standard probation plan and budget request;
  - h. Assist juvenile courts in developing their juvenile standard probation plans and budgets;
  - i. Provide general assistance to juvenile courts on the operation of juvenile standard probation; and
  - j. Adopt other administrative practices and procedures, consistent with this code, as necessary for the administration of juvenile standard probation.
2. For purposes of uniform administration, each juvenile court and juvenile probation department receiving state juvenile standard probation funds shall comply with this code.

#### **E. Budget Request Preparation.**

1. Pursuant to A.R.S. ' 12-262, the presiding judge of the juvenile court in any county wishing to improve, maintain and receive state funding to operate juvenile standard probation shall submit a proposed plan and budget request for the subsequent fiscal year to the AOC. The administrative director shall establish the date for submission, as well as the forms to be used and the corresponding instructions.
2. The administrative director shall review each request, and may modify the request based on appropriate statewide considerations. The AOC shall include the court's request or the modified request in the annual Supreme Court's budget. The administrative director shall allocate to the juvenile court the monies appropriated by the legislature for standard probation based on the proposed plan, availability of funds, caseload population, past year use and program effectiveness.
3. If a juvenile court does not agree with the allocations, and requests further review, the chief justice shall make the final determination.
4. Each participating juvenile court shall support the budget request with written justification and explanation as required by the administrative director.

#### **F. Program Plan and Financial Management.**

1. Each participating juvenile court shall submit an expenditure plan to the administrative director. The participating juvenile court shall outline in the expenditure plan how the requested state funds shall be used in achieving or maintaining the average case supervision requirements prescribed in A.R.S. ' 8-203 (B). The participating juvenile court shall submit the plan within the prescribed time frame and on forms required by the administrative director.

2. Each presiding judge of the juvenile court shall submit, in writing, all requests to modify expenditure plans on a form approved by the administrative director.
3. Each program plan shall explicitly document:
  - a. That a minimum of 80 percent of the state juvenile standard probation funds allocated to a juvenile court shall be used only for the payment of salaries and employee related benefits of probation officers involved in the case management, field supervision and enforcement of court orders of juveniles on standard probation who reside in the county; and
  - b. That not more than 20 percent of the allocated state juvenile standard probation funds for probation services are being used to otherwise maintain, improve or enhance standard probation services.
4. On request, the administrative director may approve a plan permitting an expenditure of funds of more than 20 percent on support, operating and ancillary services. The participating juvenile court shall file the request with the AOC on a form prescribed by the administrative director.
5. In the event that the administrative director disapproves a plan or plan modification submitted by a juvenile court, the presiding judge of the juvenile court may request that the administrative director submit the plan to the chief justice for consideration and final determination.
6. Pursuant to A.R.S. ' 12-263, on approval of the plan as submitted or modified and the availability of funds, the administrative director shall enter into a written funding agreement with the submitting juvenile court for the distribution of funds. The administrative director may amend or terminate funding agreements due to lack of funds, lack of financial need, or due to the juvenile court's failure to comply with applicable statutes, the approved plan, funding agreement, or this code.
7. The administrative director may reallocate funds during the year based on documented need, current use of funds and approved plan or budget modifications.
8. A.R.S. ' 12-262(2) provides that state juvenile standard probation funds shall, A... supplement county funds provided for probation services.@ A.R.S. ' 12-265(C) provides: A no state funds may be used to increase any salaries funded under county probation programs.@
  - a. A.R.S. ' 12-268(D) provides; A State monies expended from the juvenile probation services fund shall be used to supplement, not supplant, county appropriations for the superior court juvenile probation departments.@
  - b. The county shall maintain the level of county funding to support the same number of case-carrying county officers as funded in fiscal year 1995 as required by the legislature in Session Laws 1993, Chapter 4. Authorization of additional state funded juvenile standard probation

positions is dependent on the county maintenance of effort as defined.

- c. The county probation departments shall fund one standard probation officer from non-state funds for every eight standard probation officers funded by the state aid for probation monies.
9. Pursuant to A.R.S. ' 12-268(B), the county's chief fiscal officer shall deposit funds received by the juvenile court pursuant to A.R.S. ' 12-262 into a separate account within the juvenile probation services fund.
10. Each participating juvenile court shall use allocated state funds and interest only for the support and operation of juvenile standard probation.
11. On agreement with a participating juvenile court, the administrative director may withhold funds allocated to the juvenile court and may authorize direct expenditures for the benefit of the court. The administrative director may also reallocate these funds during the fiscal year.
12. The presiding judge of the juvenile court of each participating juvenile court shall submit to the AOC, by January 31 of each year, a mid-year financial and program activity report related to the court's plan through December 31. Failure to submit the report in a timely manner may result in financial sanctions.
13. The presiding judge of the juvenile court of each participating juvenile court shall submit to the AOC, by August 31 of each year, a closing financial and program activity report related to the court's plan through June 30. Failure to submit the report in a timely manner may result in financial sanctions.
14. The presiding judge of the juvenile court of each participating juvenile court shall return to the AOC by August 31 of each year, all juvenile standard probation funds distributed to the juvenile court which are unencumbered through June 30 and unexpended through July 31. Failure to revert unexpended funds in a timely manner may result in financial sanctions.
15. The administrative director shall determine how the funds are used in the event that a juvenile court experiences a decreased need for funds or declines to participate after the legislature has appropriated funds for juvenile standard probation services.
16. Each participating juvenile court and its juvenile probation department shall maintain and provide to the AOC data and statistics as may be required by the Supreme Court to administer funding for juvenile standard probation.
17. On request of the AOC, the director shall conduct hand counts of the county's standard probation population. The director shall submit the results of the hand counts to the AOC

18. Each participating juvenile court and its probation department shall retain all financial records, applicable program records, and data related to each approved plan for a period of at least 5 years from the close of each fiscal year.

**G. Allocation and Management of Juvenile Standard Probation Personnel Placements.** The administrative director shall to allocate state funded juvenile standard probation personnel placements approved for standard probation among juvenile courts. The administrative director may prepare and implement procedures for adjusting allocated placements and associated monies among juvenile courts.

**H. Standard Probation Caseload Limit.** A.R.S. ' 8-203(B) provides: A juvenile probation officers performing case supervision shall not supervise more than an average of thirty-five juveniles on probation at one time.@ Only those juveniles on the probation officers's active caseload are included in determining the average caseload of thirty-five juveniles.

**I. Active Cases.**

1. A juvenile standard probation officers's active caseload shall include:
  - a. Juveniles residing in the officers's county and receiving standard probation services,
  - b. Juveniles in short term residential placement,
  - c. Juveniles incarcerated in detention,
  - d. Juveniles in residential placement in another county and actively supervised by the county of origin in accordance with established policies and procedures, and
  - e. Juveniles on warrant status for less than 90 days.
2. A juvenile standard probation officers's active caseload shall not include:
  - a. Juveniles on administrative status for one of the following reasons:
    - (1) Juveniles traveling for more than 30 days out of county/state/country with the approval of the juvenile probation department;
    - (2) Juveniles direct filed to adult court and currently held in adult jail pending the adult court action; or
    - (3) Juveniles residing for more than 30 days out of county/state/country, but the department has retained jurisdiction of the juvenile.
  - b. Juveniles on warrant status for 90 days or more, and

- c. Juveniles not yet dispositioned to standard probation nor protective supervision by the court.

## **J. Program Operations.**

### **1. Each department shall:**

- a. Have a written procedure regarding the alcohol and drug testing of juveniles on standard probation. The procedure shall address the methods used to select juveniles for testing, the frequency of testing, and the type of test to be administered.
- b. Have a written policy by which accurate and timely records of the completion of community service hours is maintained for each juvenile on standard probation.
- c. Work with the office of the clerk of the superior court to establish a process by which supervising probation officers are provided with accurate and timely information concerning collections.
- d. Ensure the collection of monies owed as a condition of probation.
- e. Develop policies and procedures that ensure that probation officers providing standard supervision reexamine and reassess the risk and needs of each juvenile under their supervision and the factors associated with reducing, maintaining or increasing the juvenile's level of supervision.

### **2. The juvenile probation officer shall:**

- a. Conduct a Risk Needs assessment on every juvenile supervised within 30 days if not completed during the pre-dispositional process. The result of the assessment shall be used to establish a level of supervision for the juvenile and formulate a supervision plan.
- b. Make documented efforts to locate an absconder. The supervising probation officer shall request a warrant be issued if the juvenile is not located. Efforts to locate the juvenile shall continue pursuant to the court's departmental policy.
- c. Petition the court to terminate the period of probation based on the use of a Risk Needs assessment and an evaluation of the juvenile's compliance with the conditions of probation.
- d. As provided by A.R.S. ' 8-205(3); shall A...have the authority of a peace officer in the performance of the court officer's duties.@

### **3. Pursuant to A.R.S. ' 8-396(A), when the probation officer petitions the court to terminate probation, the court shall notify those victims who have requested notification of probation related**

matters.

**K. Minimum Contact Requirements.**

1. Each participating juvenile court shall develop policies and procedures that ensure minimum levels of contact for juveniles placed on standard probation. These policies and procedures shall include minimum monthly levels for:
  - a. Visual contacts with the juvenile,
  - b. Parental contacts,
  - c. Employment contact and verification, and
  - d. School contacts and verification.
2. Each director shall ensure that all established minimum contact requirements are provided in writing to each supervising probation officer, along with appropriate training on adherence to those requirements.

**L. Specialized Populations.**

1. Any juvenile court establishing or maintaining specialized caseloads shall have a written description of the specialized caseload, including objectives and goals.
2. Any juvenile court establishing or maintaining specialized caseloads shall have written screening and assessment criteria for placement on the caseload, as well as criteria for exiting or graduating from the caseload.
3. Any juvenile court establishing or maintaining specialized caseloads shall have written policies and procedures for minimum contact standards specific to the needs and goals of the identified caseload and shall include minimum monthly levels for:
  - a. Visual contacts with the juvenile,
  - b. Parental contacts,
  - c. Employment contact and verification,
  - d. School contacts and verification, and
  - e. Treatment providers as appropriate.



4. Probation officers assigned to supervise specialized caseloads shall participate in continuing education and training on the specific needs of the specialized population.

**M. Required Records.** Each standard probation officer shall maintain verifiable records for each juvenile supervised, including, but not limited to:

1. A written statement of the conditions of the probation;
2. An individual service plan or court report setting forth behavioral and program expectations and recommendations subject to the approval of the director; and
3. Contact logs detailing the time, nature, and location of each contact made with each juvenile on standard probation.

*Adopted by Administrative Order 2000-75 effective November 9, 2000.*